U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
TEMENT CLAIMING

Decker Number:
52494/2101

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
37 CFR 1.9(c-f) and 1.27(b-d)

Application Number 09/040,103 Phong Date March 17, 1998 Bambirer

Art Unis

Invention Title

USE OF HUMAN SERUM RESISTANT VECTOR PARTICLES AND CELL LINES FOR HUMAN GENE THERAPY

MASON

Address to:

Assistant Commissioner for Patents

Washington D.C. 20231

With respect to the invention described in application 09/040,103, filed March 17, 1998, I declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

ORGANIZATION:

ADDRESS:

**ORGANIZATION TYPE:** 

North Shore University Hospital Research Corporation 350 Community Drive, Manhasset, New York 11030

A organization which qualifies as tax exempt under

Internal Revenue Service Code (26 U.S.C. 501(a) and

501(c)(3).

and that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under 35 USC 41(a) and (b).

## 1. OWNERSHIP OF INVENTION BY DECLARANT

I hereby declare that all rights under contract or law have been conveyed to the above identified Non-Profit Organization, and no rights to the invention are held (1) by any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention. (2) any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or (3) a non-profit organization under 37 CFR 1.9(e).

## 2. ACKNOWLEDGEMENT OF DUTY TO NOTIFY PTO OF STATUS CHANGE

I acknowledge the duty to file. in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

## 3. DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further than these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under 18 USC 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Signer's Name Harry E. Gind	i	Signature	5. Ju	h'	Dear MAY	18,1998
Figure's Title: Vice President, Legal Affairs						
North Shore University Hospital Research Corporation 350 Community Drive, Manhasset, New York 11030						

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